

# Nanostructured Materials for Thermoelectric Energy Conversion and Sensor Applications

Li Shi

Associate Professor

Department of Mechanical Engineering &

Texas Materials Institute

The University of Texas at Austin



# Outline

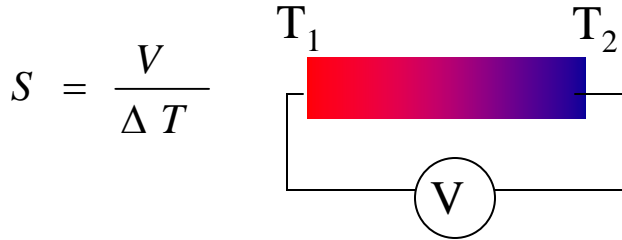
- Thermoelectrics of bulk and nanostructured materials
- Probing local thermopower of quantum wells
- Thermoelectric characterizations of individual nanowires using nanofabricated devices
- Integration of metal oxide nanowires with microsystems for nerve agent detection

# Outline

- Thermoelectrics of bulk and nanostructured materials
  - Probing local thermopower of quantum wells
  - Thermoelectric characterizations of individual nanowires using nanofabricated devices
  - Integration of metal oxide nanowires with microsystems for nerve agent detection

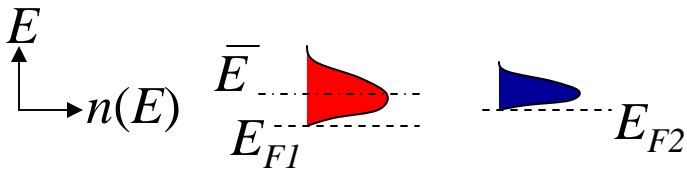
# Thermoelectric Power Generation

- Seebeck effect:



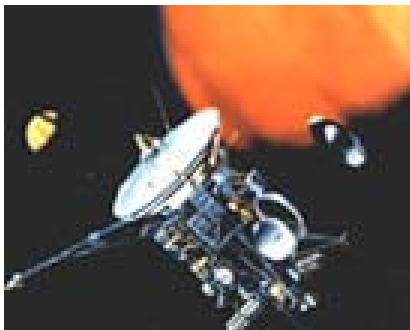
- Thermoelectric power generator:

- No moving parts
- Quiet

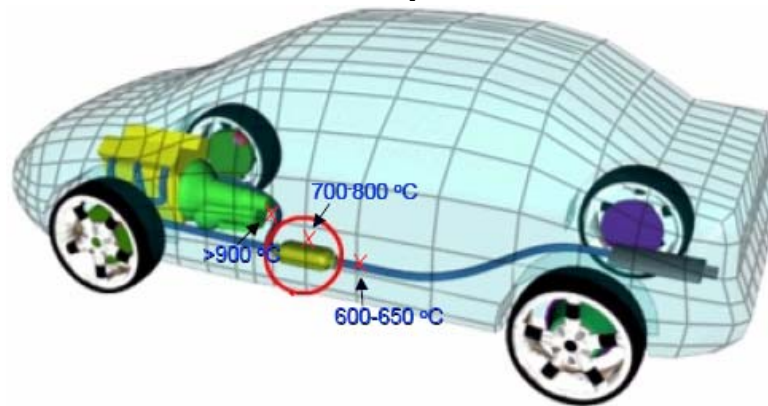


$$|S| \approx \frac{(\bar{E} - E_F)}{eT}$$

- Radioisotope thermoelectric generator in NASA deep space probes



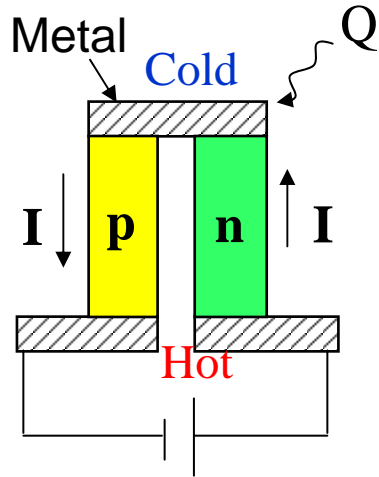
- Waste heat recovery from automobiles (DOE Project Freedom Car)



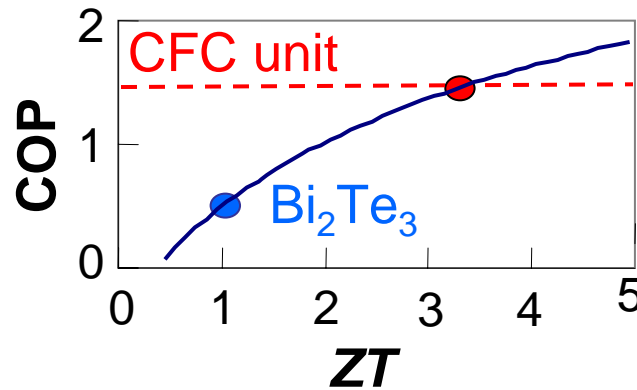
- All electric ships (NAVY Seapower 21)



# Thermoelectric Cooling Performance



- Coefficient of Performance (COP  $\equiv Q/IV$ )



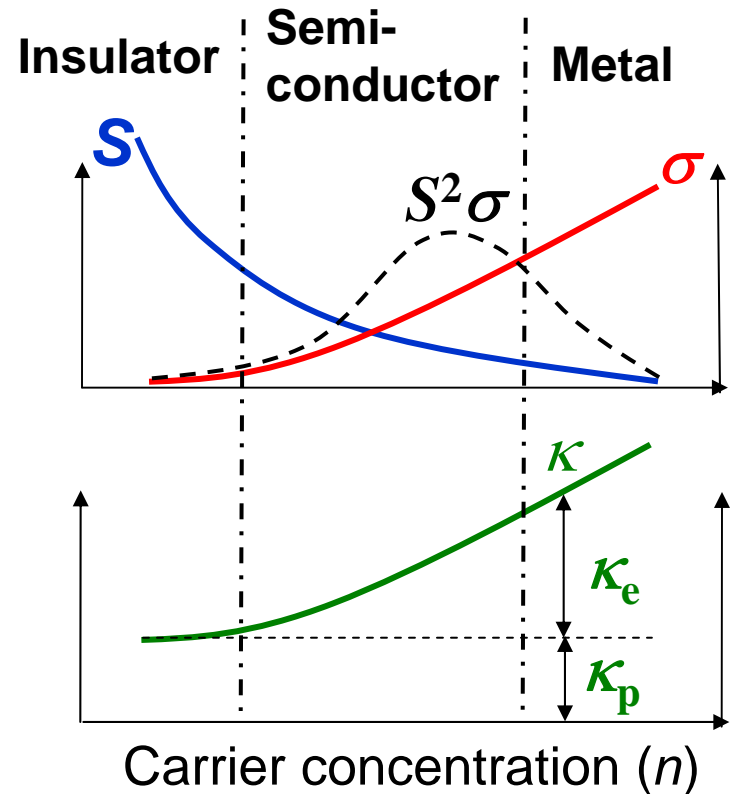
- $ZT$ : Figure of Merit

Seebeck coefficient

Electrical conductivity

$$ZT \equiv \frac{S^2 \sigma}{K} T$$

Thermal conductivity



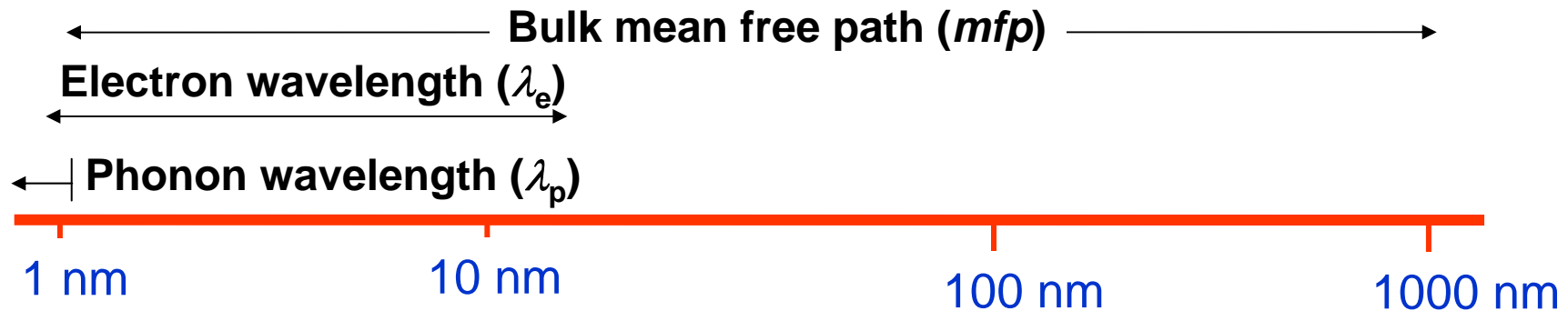
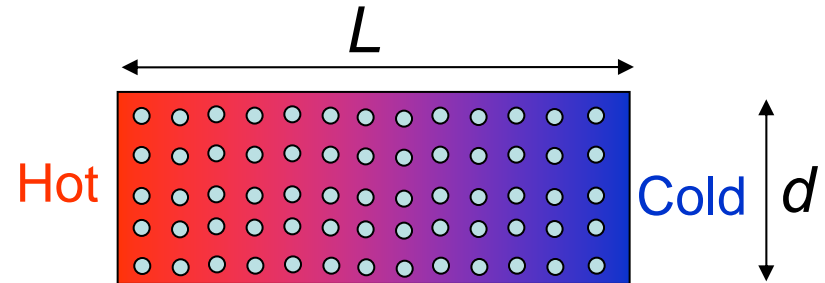
# Size Effects on Thermoelectric Properties

## Solids

## Dominant energy carriers

Metals: Electrons

Insulators: Phonons (crystal waves)



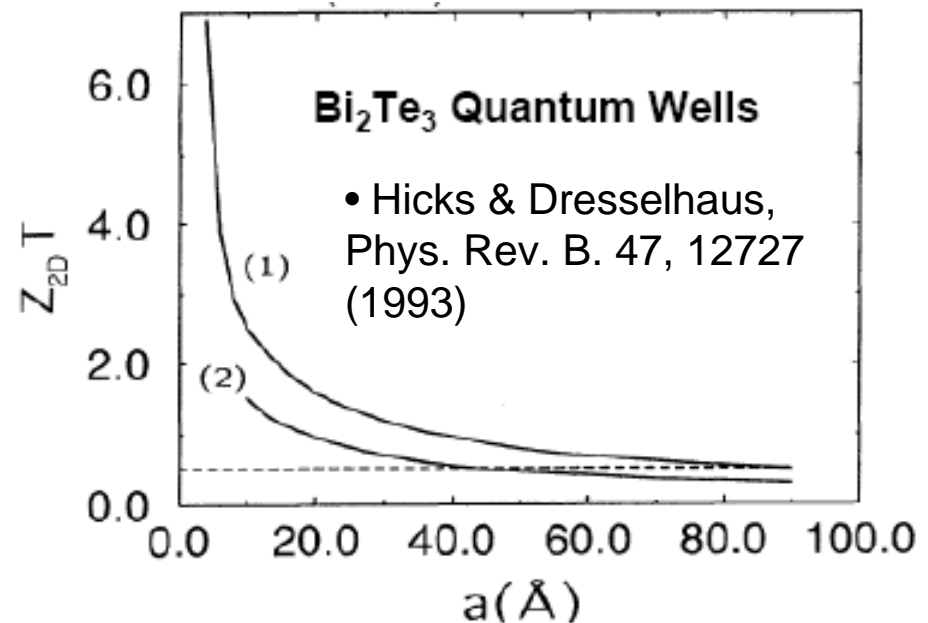
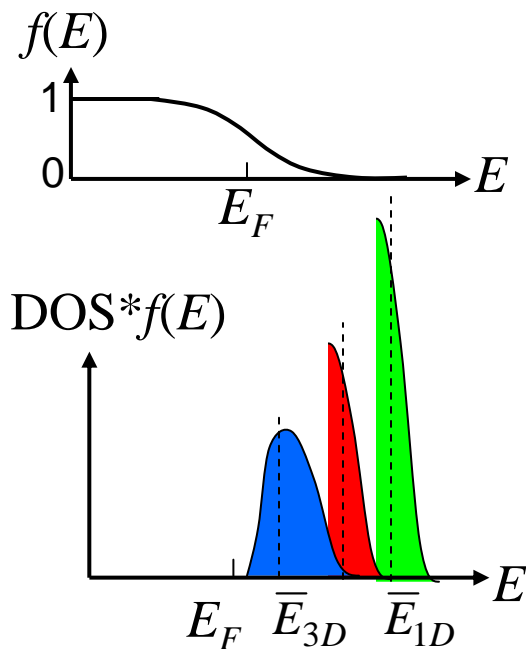
- $d \sim \text{bulk } mfp \rightarrow$  classical size effects: modified *mfp*
- $d \sim \text{wavelength } (\lambda) \rightarrow$  quantum size effects: modified density of states, increased electron-electron interaction

# Quantum Wells and Wires

- Although  $\bar{E}_{1D} > \bar{E}_{2D} > \bar{E}_{3D}$ , the same carrier concentration  $n$  can be obtained.

- $|S| \approx (\bar{E} - E_F) / eT$ , so that  
 $|S_{1D}| > |S_{2D}| > |S_{3D}|$   
 when  $n_{1D} = n_{2D} = n_{3D}$

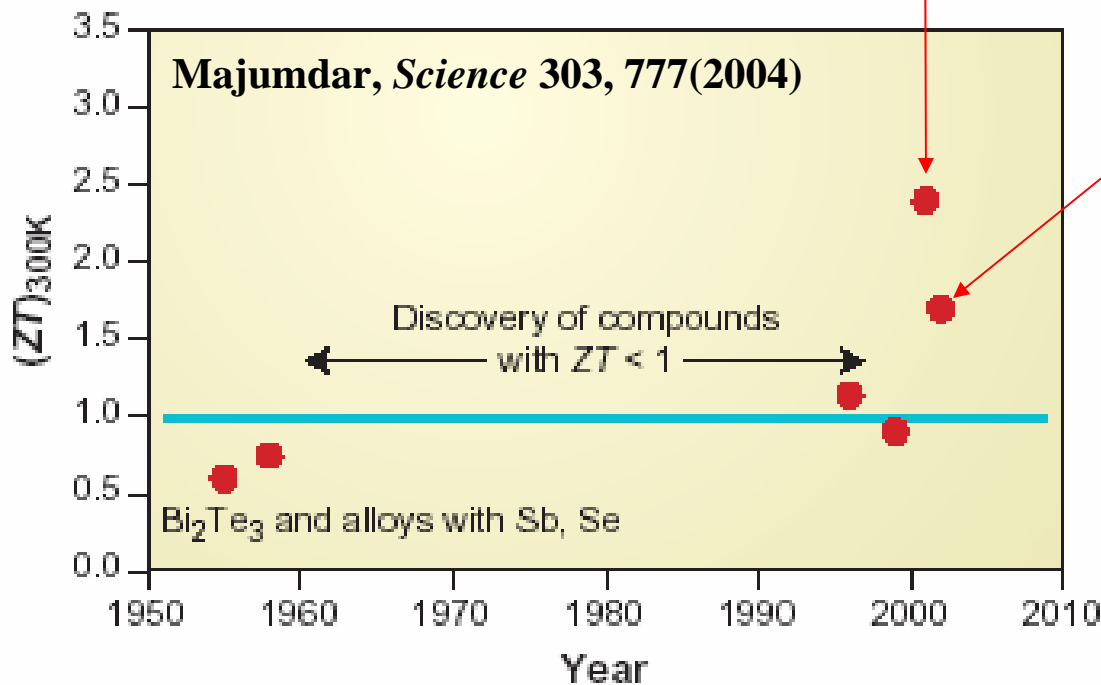
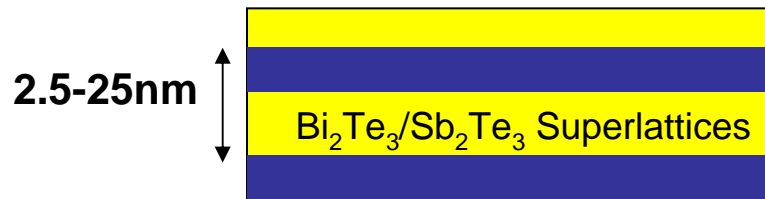
$$ZT \equiv \frac{S^2 \sigma}{\kappa} T \quad \uparrow$$



# Nanostructured Thermoelectric Materials

## •Quantum well superlattices

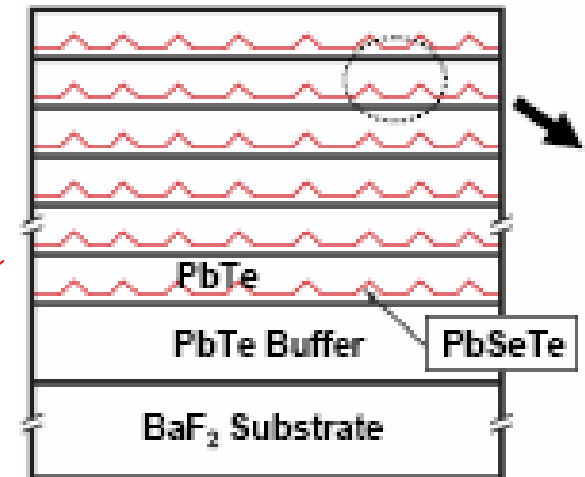
•Venkatasubramanian et al. *Nature* 413, 597 (2001)



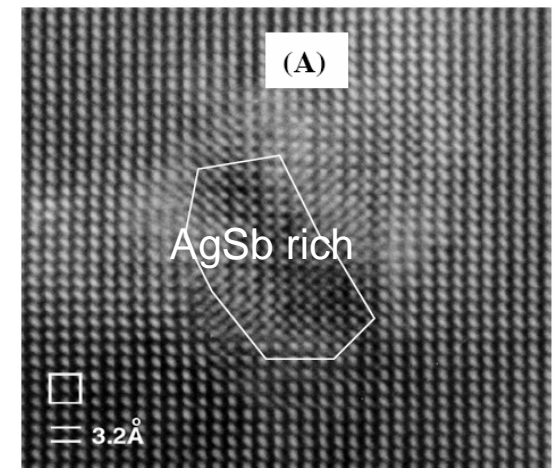
The *ZT* improvements were attributed to  $\kappa$  reduction from phonon boundary scattering, instead of  $S^2\sigma$  increase from quantum confinement of electrons.

## •Quantum dot superlattices

Harman et al., *Science* 297, 2229 (2002)



## •Nanostructured bulk thermoelectric materials



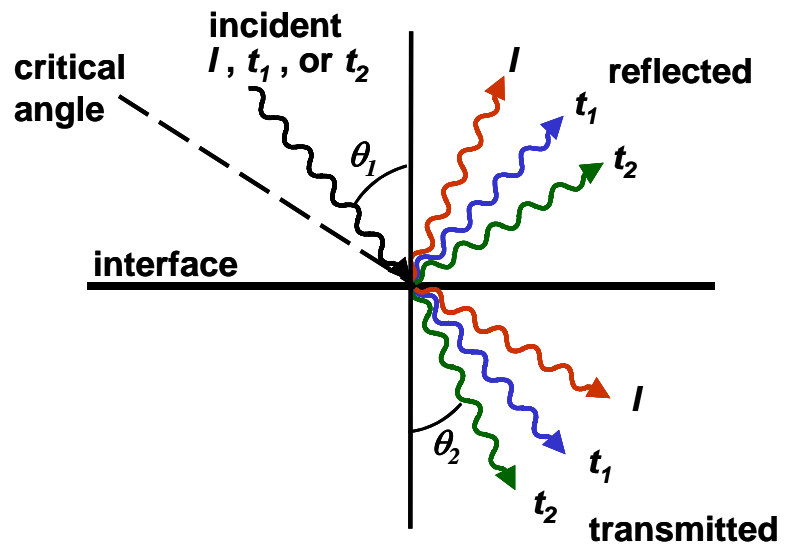
AgPb<sub>18</sub>SbTe<sub>20</sub>  
*ZT* ≈ 2 @ 800K

Hsu et al., *Science* 303, 818 (2004)

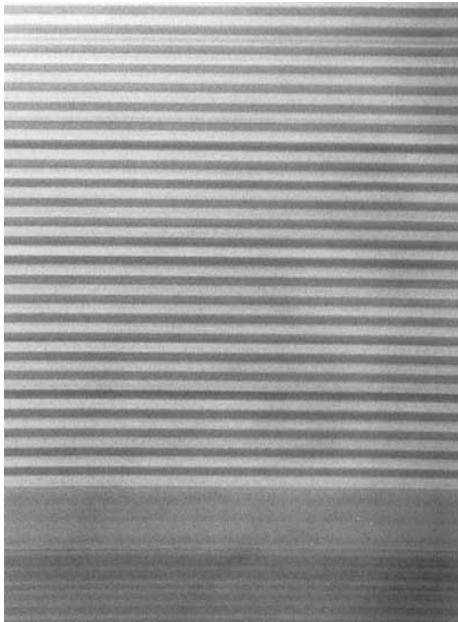
# Phonon-boundary Scattering

- Phonons: lattice vibration waves

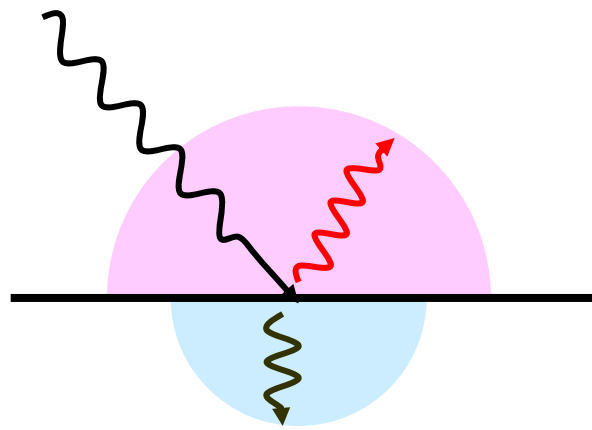
Specular scattering



TEM of a thin film superlattice



Diffuse scattering



Courtesy of A. Majumdar

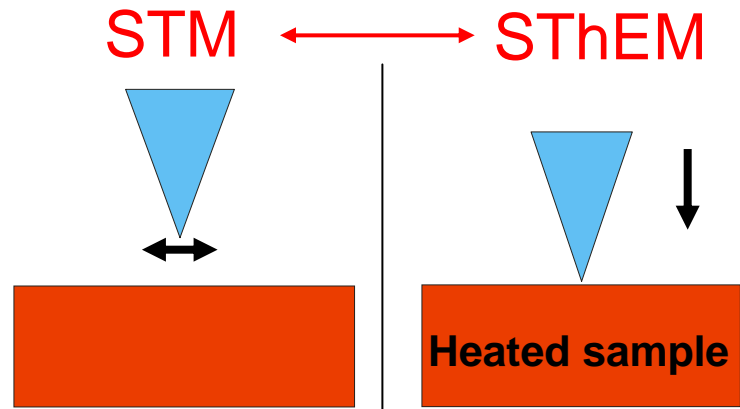
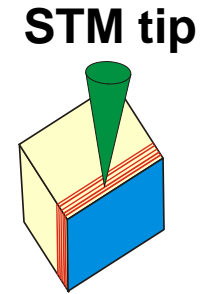
# Outline

- Thermoelectrics of bulk and nanostructured materials
- Probing local thermopower of quantum wells
- Thermoelectric characterizations of individual nanowires using nanofabricated devices
- Integration of metal oxide nanowires with microsystems for nerve agent detection

# UHV Scanning Thermoelectric Microscopy (SThEM)

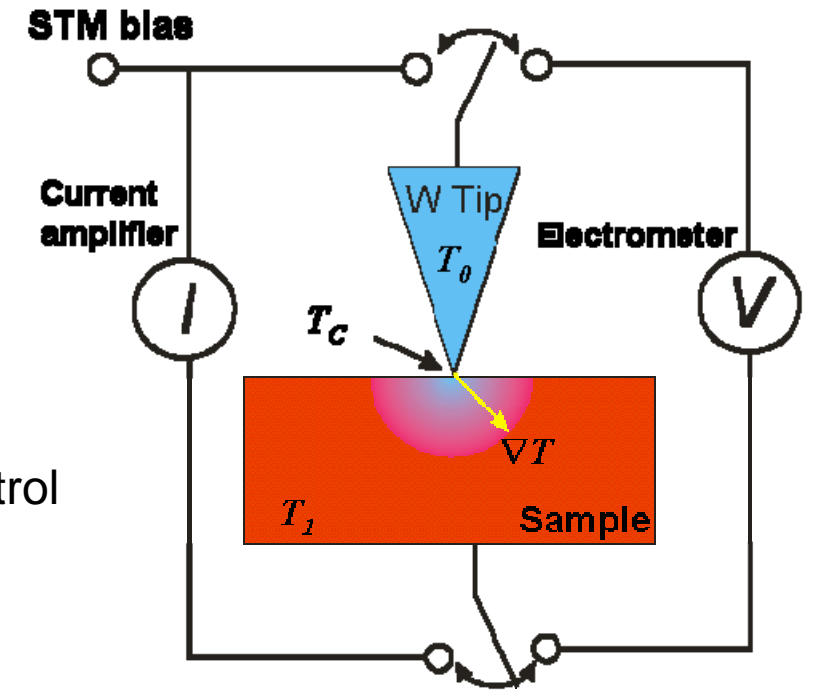
- Objective:

- To verify  $S$  enhancement in quantum wells



- Apply a voltage
- Regulate the tip-sample gap to maintain a constant tunneling current

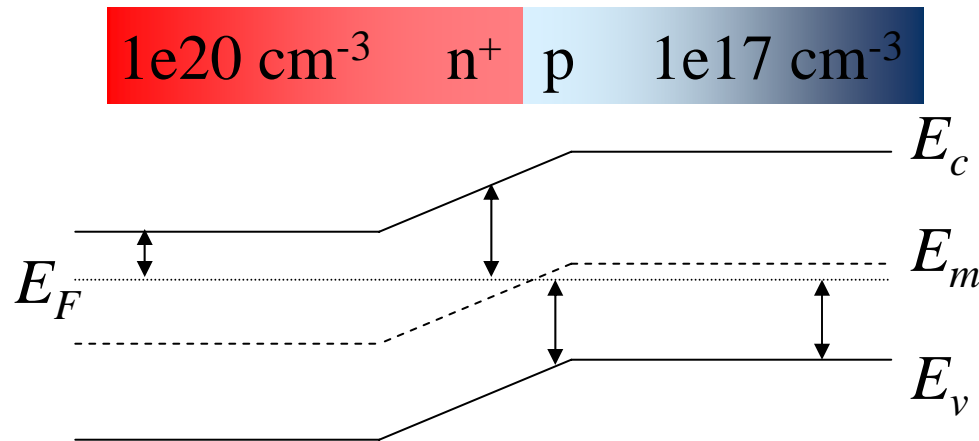
- Disable STM feedback control
- Zero STM bias
- Connect tip & sample to an electrometer
- Move STM tip toward the sample



$$S_{\text{semic sample}} \gg S_{\text{tip}} \rightarrow$$

$$V \approx S(x, y)(T_1 - T_C)$$

# Model System: p-n Junctions



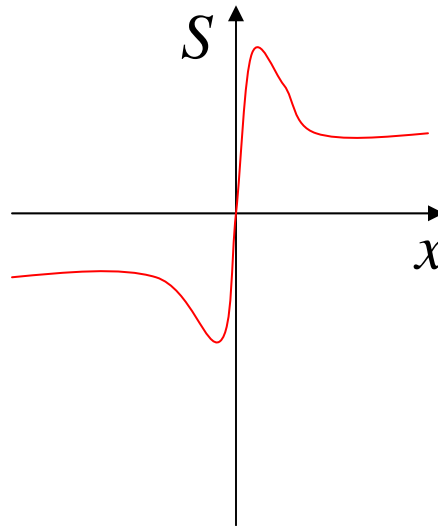
n-doped

$$S = \frac{n\mu_e S_e + p\mu_h S_h}{n\mu_e + p\mu_h}$$

p-doped

$$S \approx S_e =$$

$$\frac{1}{-eT} (E_c - E_F + \frac{3}{2}k_B T) < 0$$

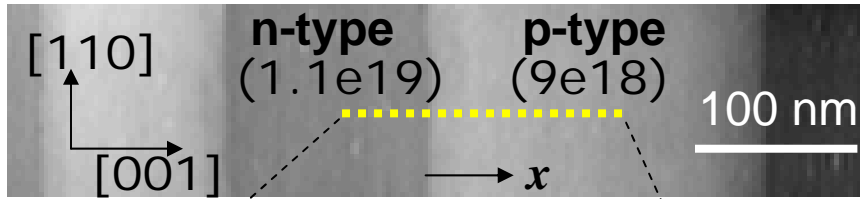


$$S \approx S_h =$$

$$\frac{1}{eT} (E_F - E_v + \frac{3}{2}k_B T) > 0$$

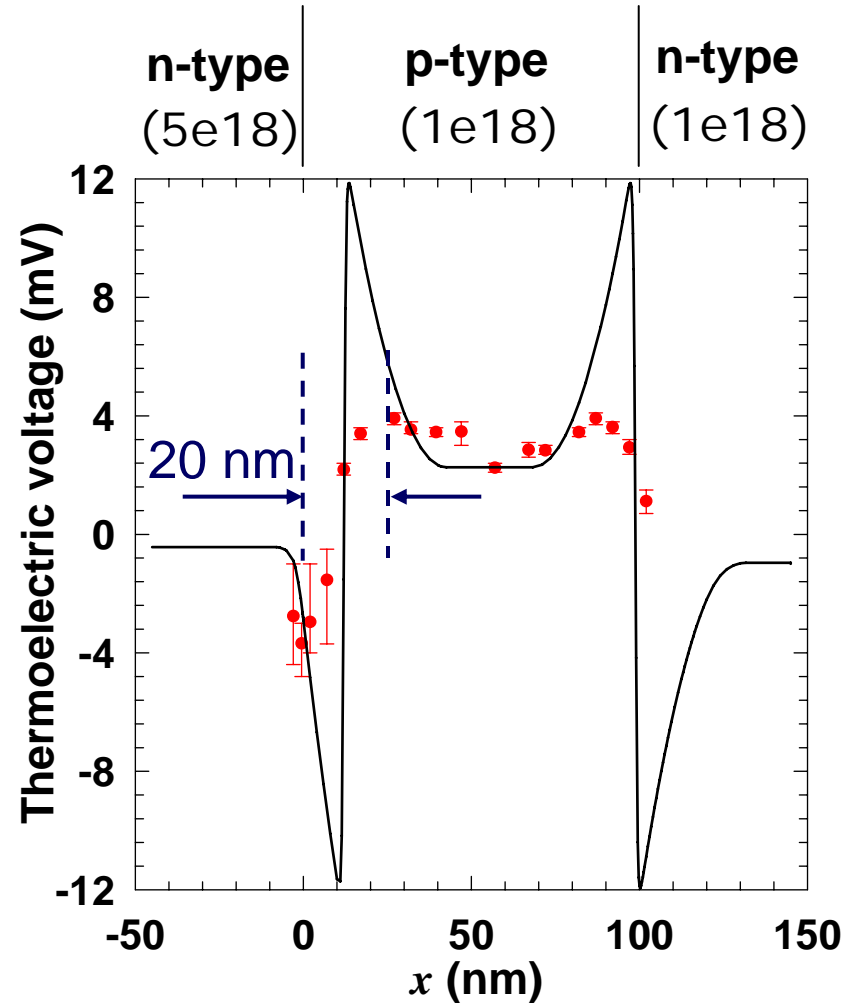
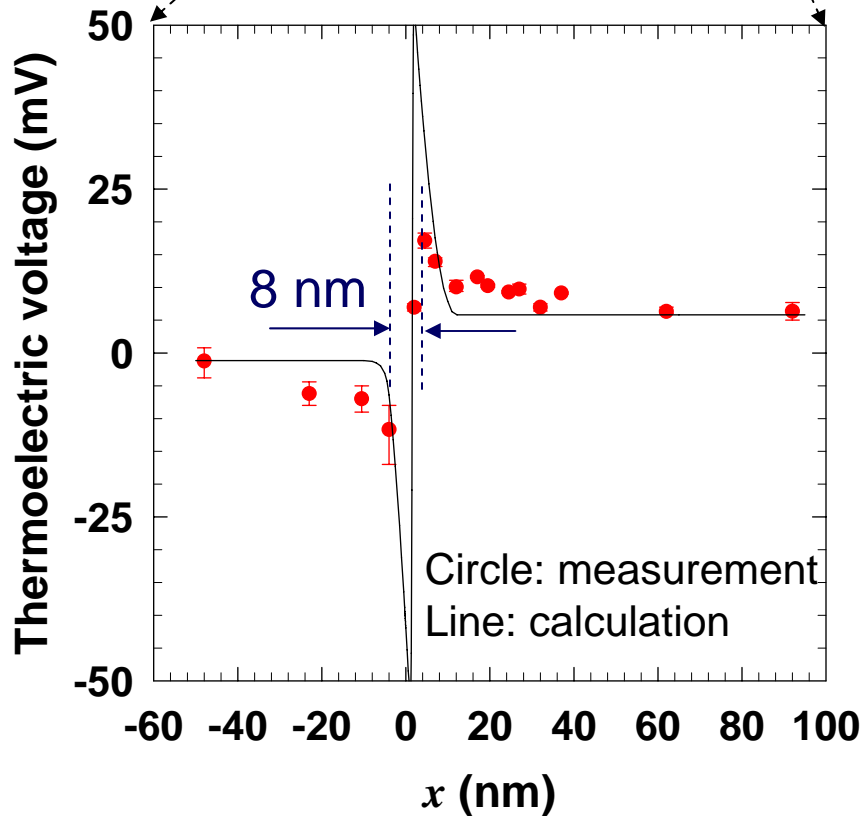
# Thermoelectric Profiling of p-n Junctions

STM image of a GaAs p-n junction



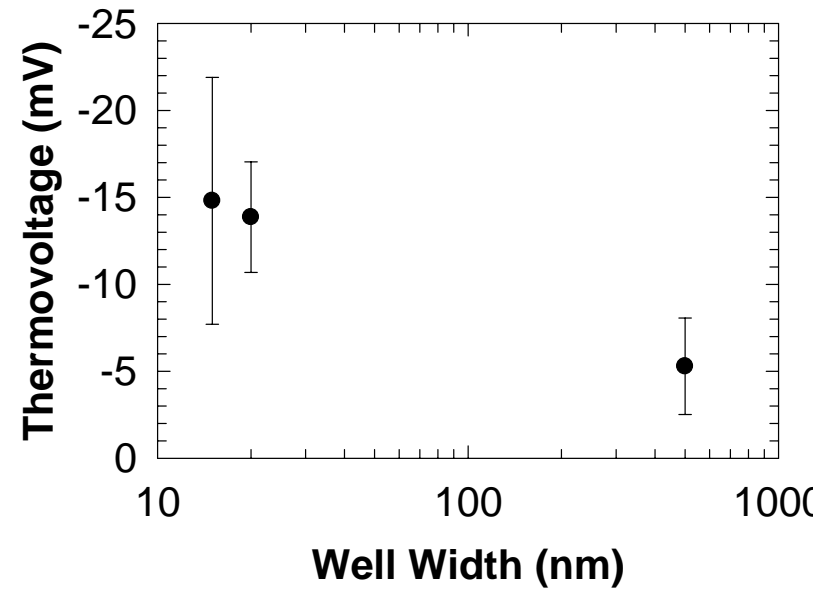
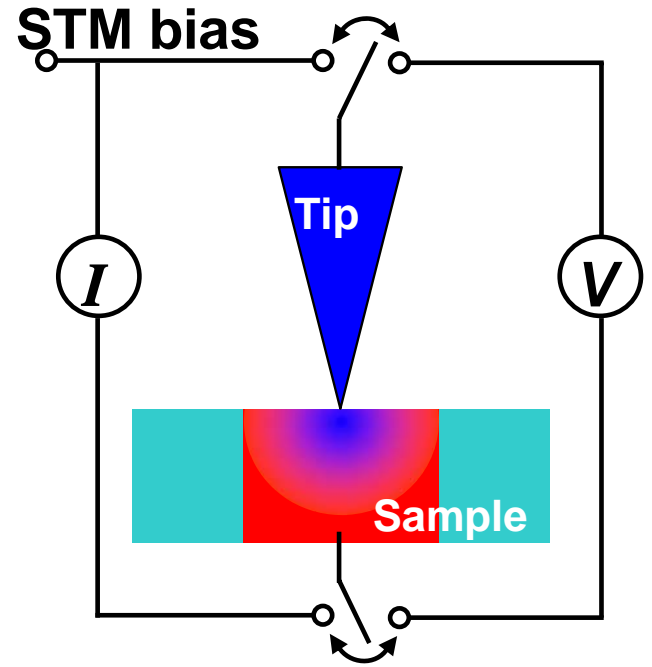
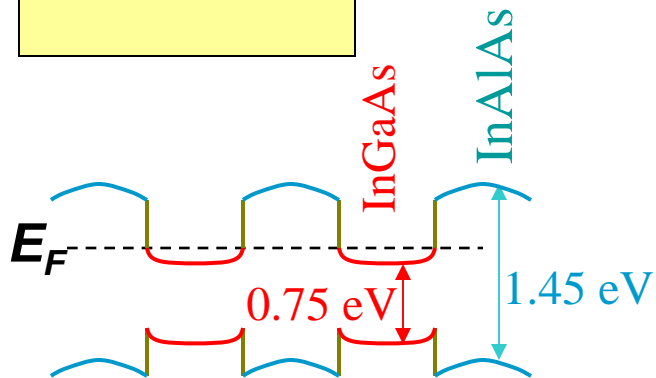
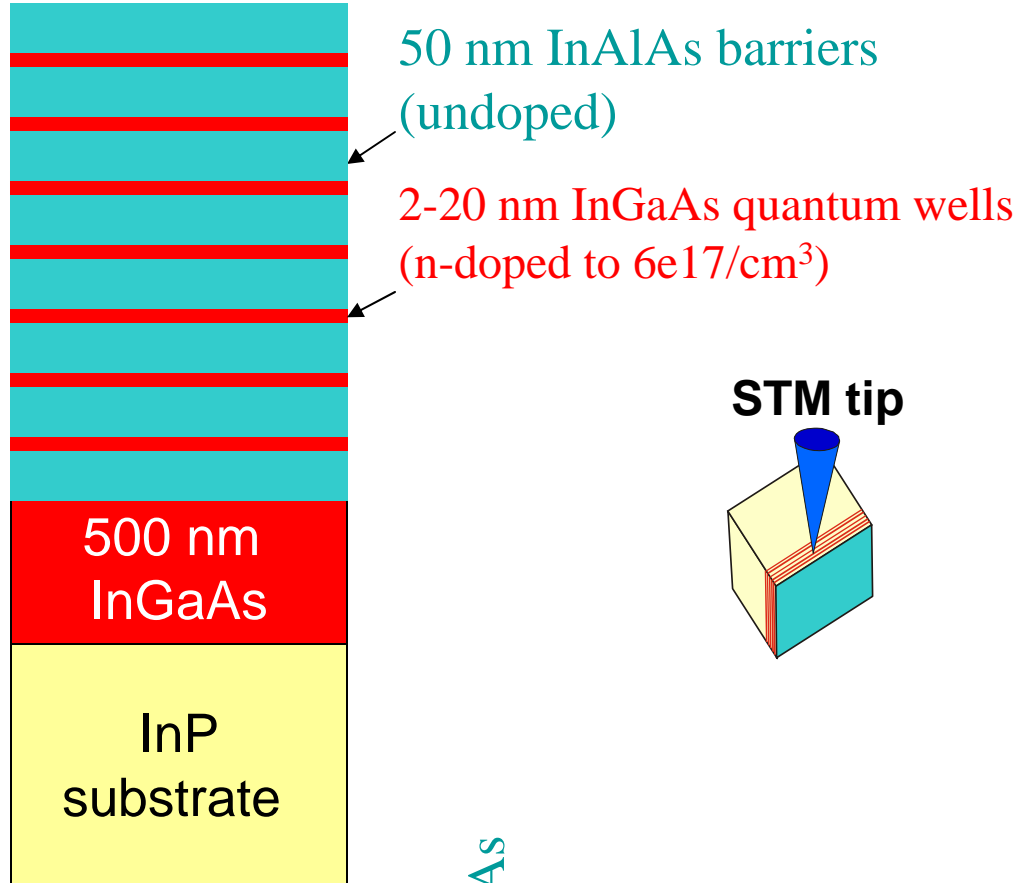
$N(\text{cm}^{-3})$   $d_{\text{dopant}}(\text{nm})$  Resolution (nm)

$1 \times 10^{19}$	4.6	8
$1 \times 10^{18}$	10	20



Lyeo, Khajetoorians, Shi, Pipe, Ram, Shakouri, Shih, *Science* 303, 816 (2004)

# Seebeck Enhancement in Quantum Wells



Collaboration: Shakouri Group & Gossard Group

# Outline

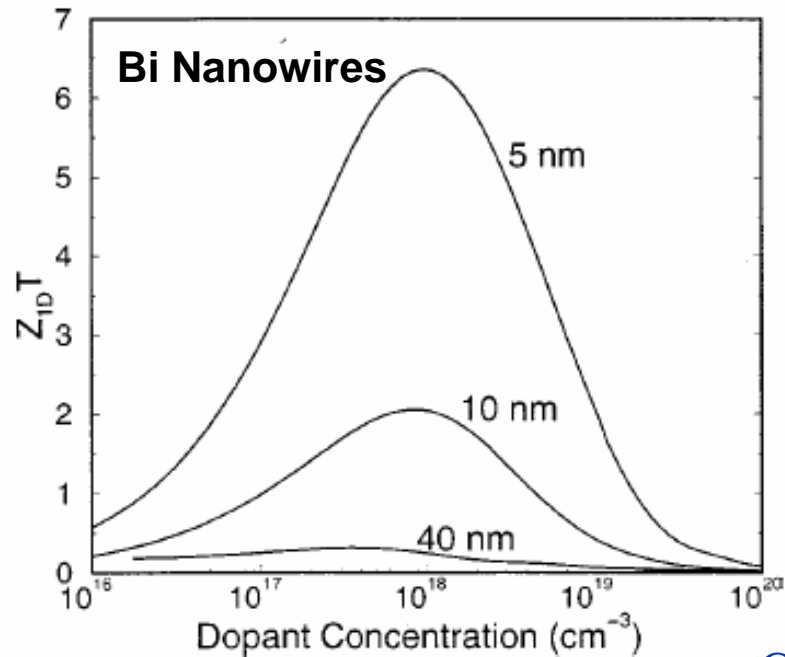
- Thermoelectrics of bulk and nanostructured materials
- Probing local thermopower of quantum wells
- Thermoelectric characterizations of individual nanowires using nanofabricated devices
- Integration of metal oxide nanowires with microsystems for nerve agent detection

# Thermoelectric Transport in Nanowires

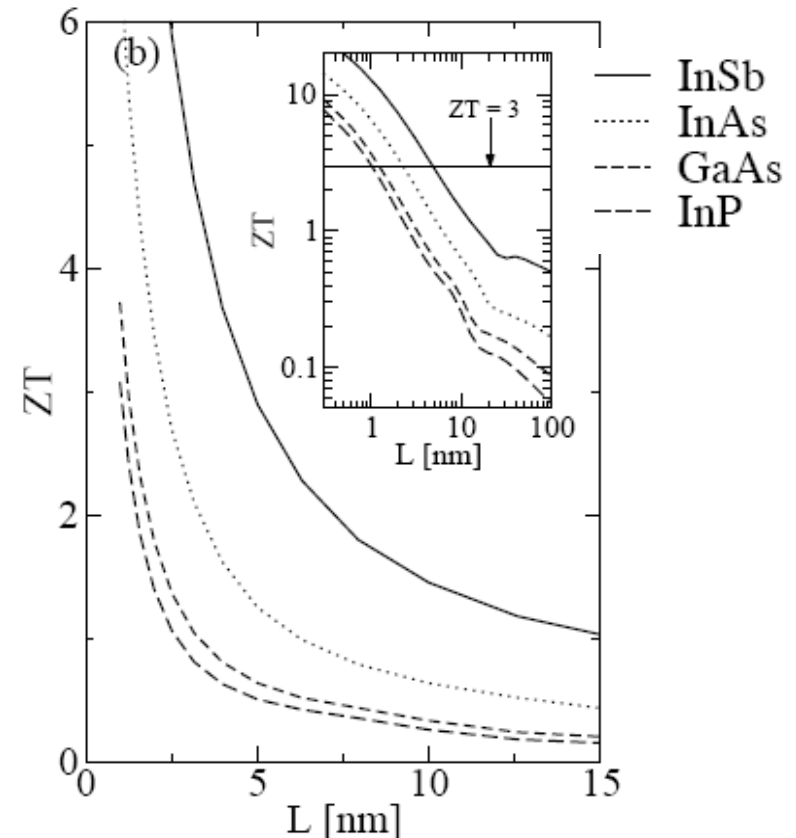
- Figure of Merit ( $ZT$ )

$$ZT \equiv \frac{S^2 \sigma}{\kappa} T$$

- Lin et al., PRB 62, 4610 (2001)



- Mingo, APL 88, 149902 (E) (2006)



- $S^2 \sigma$  increases due to asymmetric DOS (Hicks & Dresselhaus, 1993)
- Diffuse phonon- surface scattering  $\rightarrow$  suppressed  $\kappa$

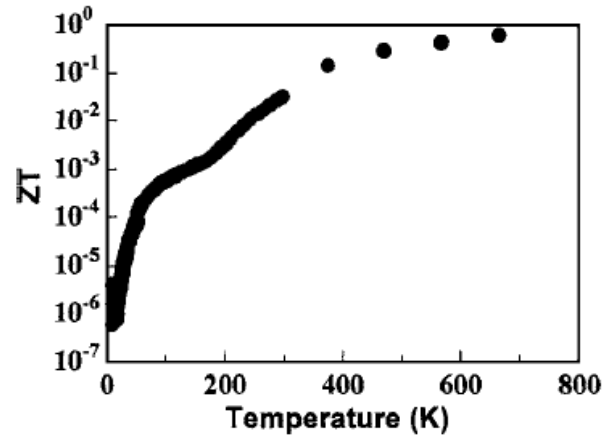
- Conditions & Assumptions:

- Single crystal
- Doping concentration optimized for maximum  $S^2 \sigma$
- Surface is diffuse for phonons and specular for electrons
- Electron-electron interaction ignored

# InSb

- **Bulk Pure InSb:**

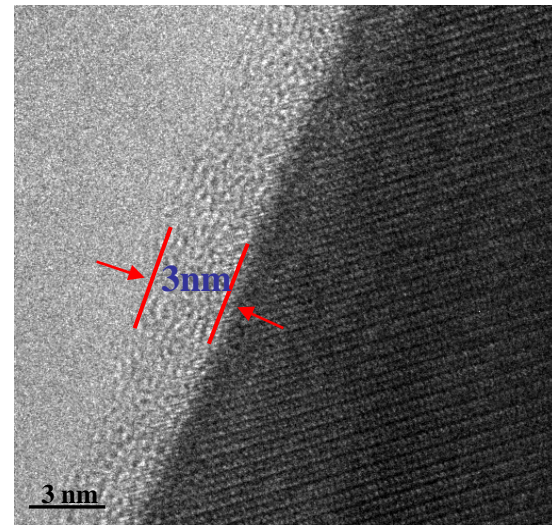
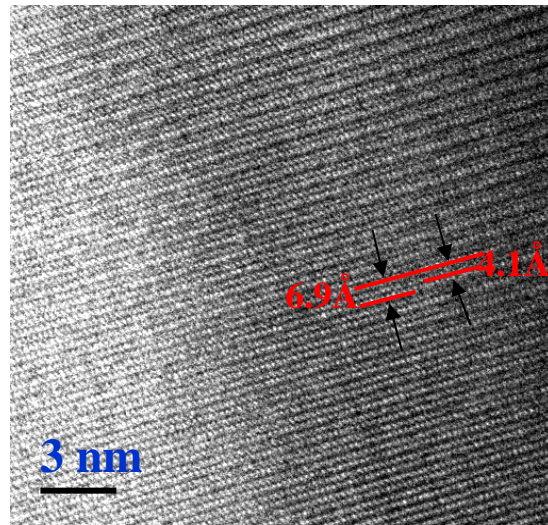
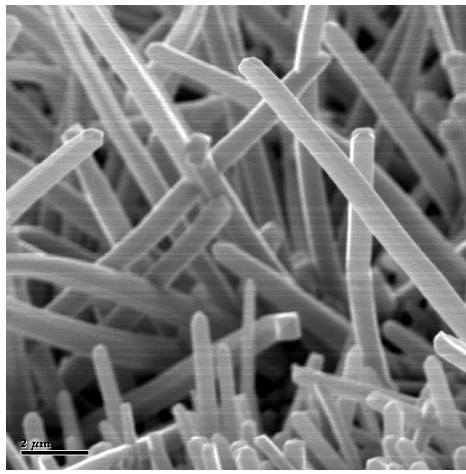
$ZT = 0.6$  at  $T = 673$  K  
(Yamaguchi et al.,  
APL **87**, 201902  
(2005))



- **InSb Nanowires:**

Largest  $ZT$  enhancement  
predicted among III-V nanowires  
(Mingo, APL **88**, 149902 (E)  
(2006))

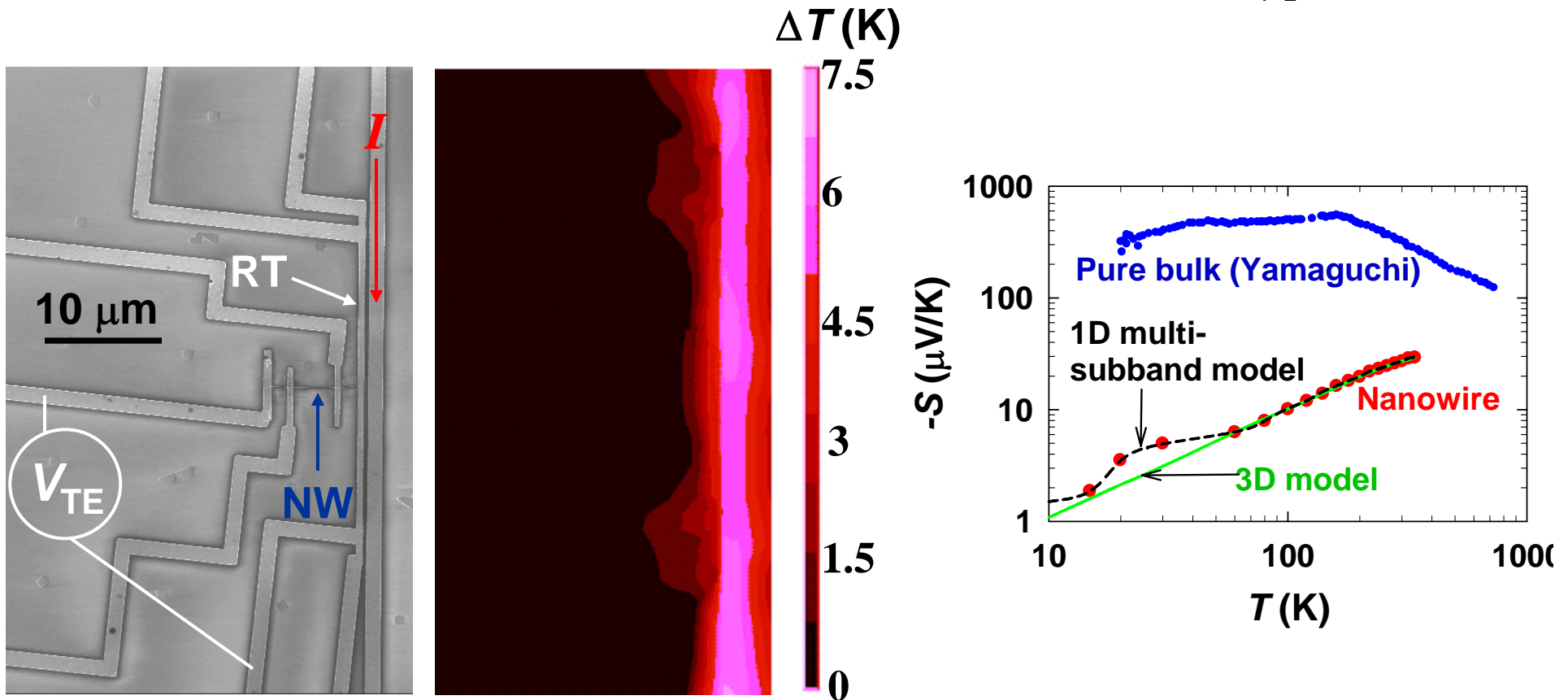
- **VLS (vapor-liquid-solid) InSb Nanowires (Ye & Mingo, NASA Ames)**



- Single crystal
- Growth direction [001]
- Surface roughness 0.5-1 nm

# Seebeck Coefficient of an InSb Nanowire

- Au/Cr electrodes were patterned using EBL and lift-off on the nanowire.
- The nanowire surface at the contact areas were passivated using  $(\text{NH}_4)_2\text{S}$ .



$$S = V_{\text{TE}} / \Delta T$$

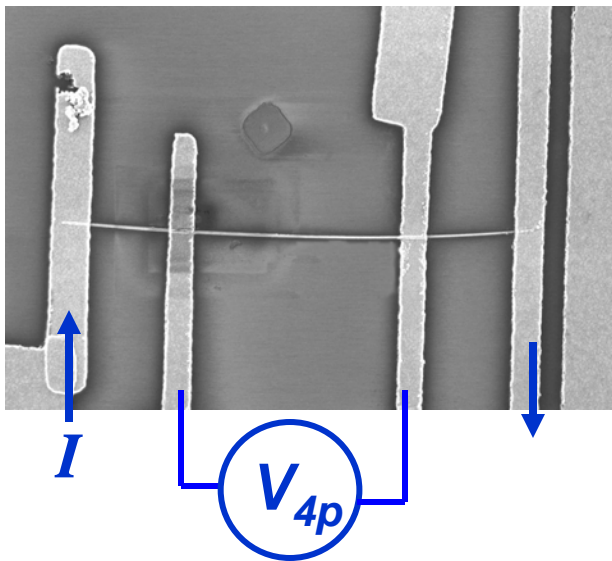
$$S = \frac{1}{eT} \left( (E_F - E_C) - \frac{\int_0^\infty g(E) \tau_e E^2 \frac{\partial f_0(E)}{\partial E} dE}{\int_0^\infty g(E) \tau_e E \frac{\partial f_0(E)}{\partial E} dE} \right)$$

- Diameter  $d = 42$  nm, subband separation:

$$\Delta E \approx (\pi^2 \hbar^2) / m_e^* d^2 \sim k_B T \text{ at } T \approx 300 \text{ K}$$

J. H. Seol, Arden Moore et al.

# Electrical Conductivity

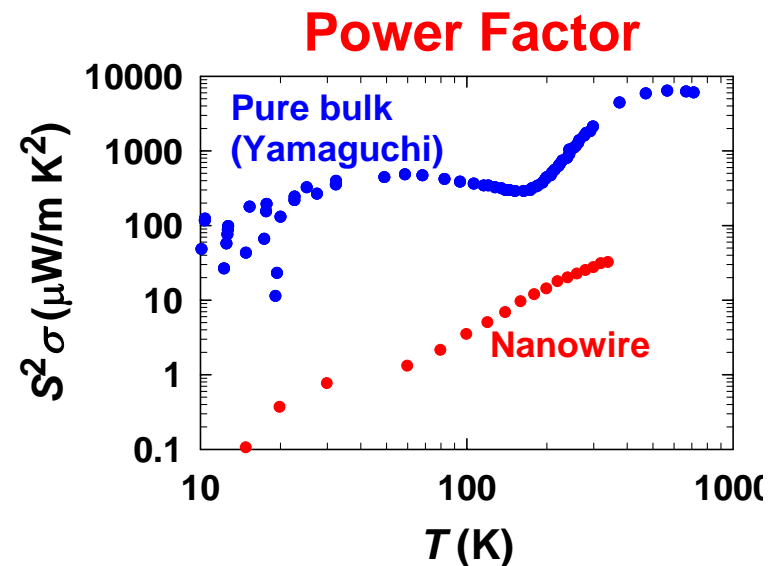
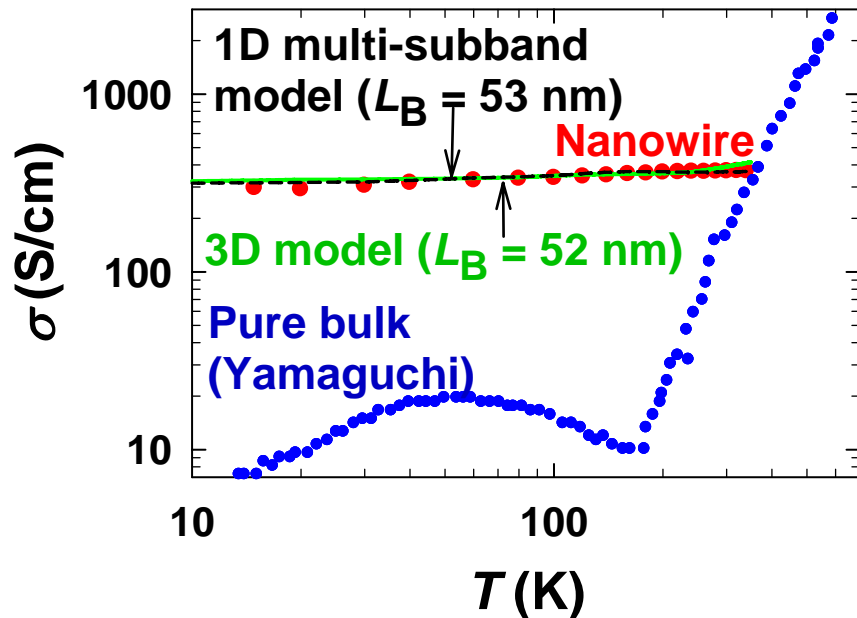


$$\sigma = ne\mu$$

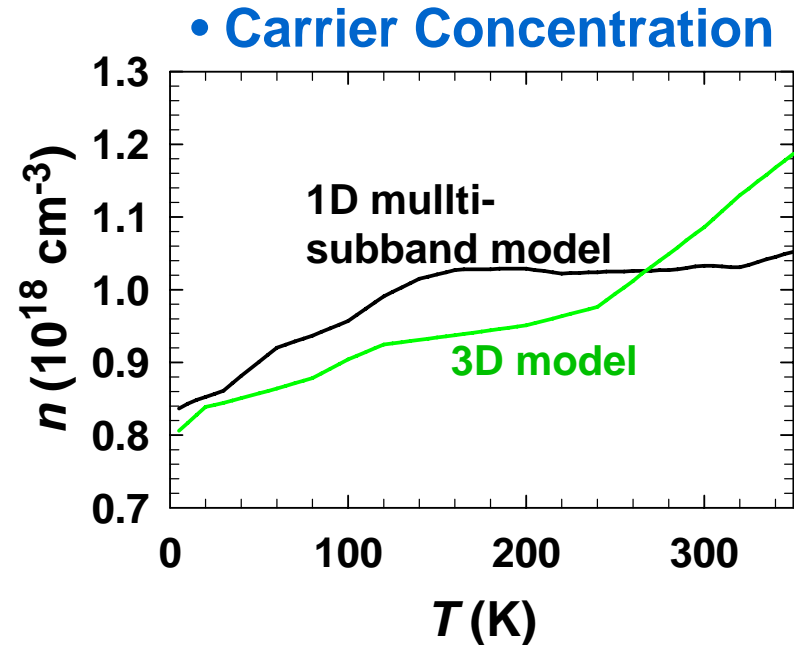
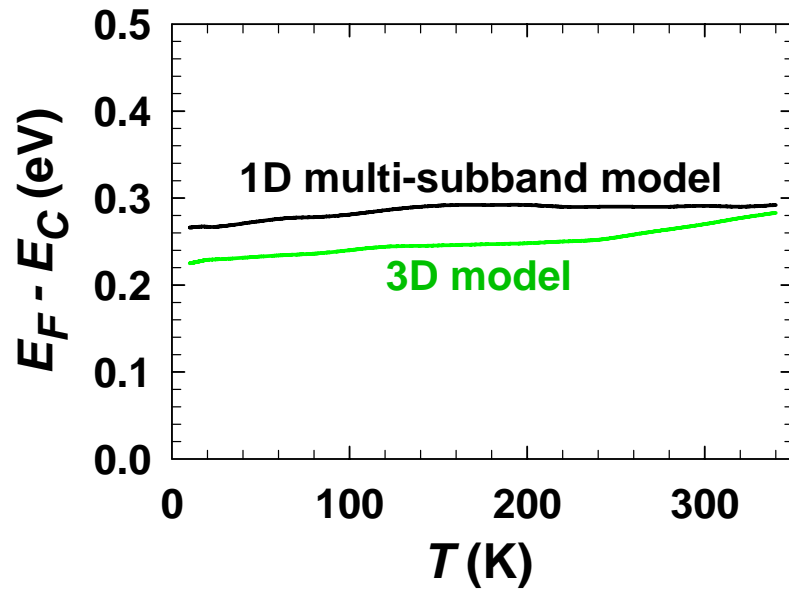
- Carrier concentration:  $n(T) = \int_0^\infty g(E)f_0(E)dE$
- Mobility:  $\mu^{-1} = \mu_{\text{bulk}}^{-1}(n, T) + \mu_{\text{boundary}}^{-1}$
- Boundary scattering mean free path:  $L_B \approx 52 \text{ nm} \sim d$
- Roughness  $r \sim 0.5\text{-}1 \text{ nm}$ , Fermi wavelength  $\lambda_F \sim 20 \text{ nm}$ , surface specularity for electrons:

$$p(\lambda) \approx \exp(-16\pi^3 r^2 / \lambda^2) \approx 0.26 - 0.73$$

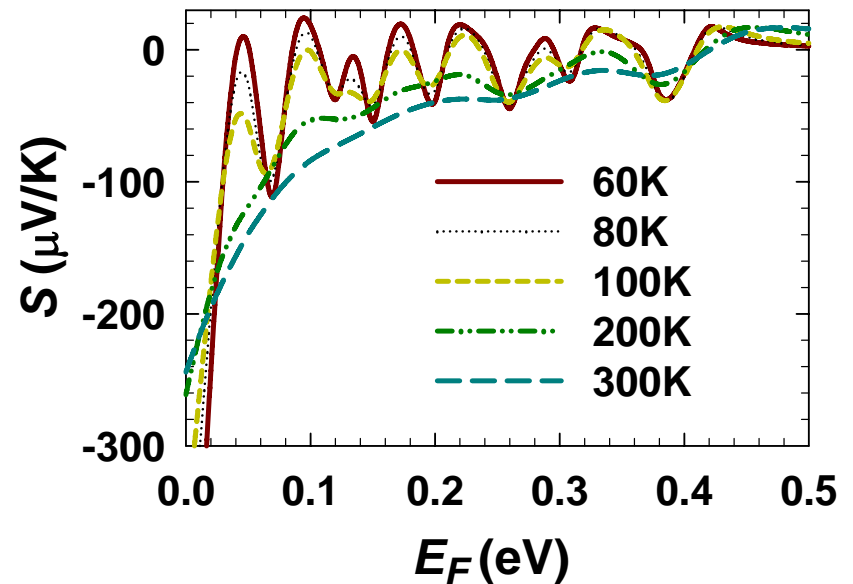
→ partially diffuse, partially specular



# Fermi Level ( $E_F$ )

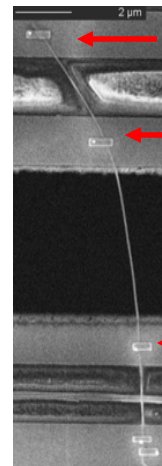
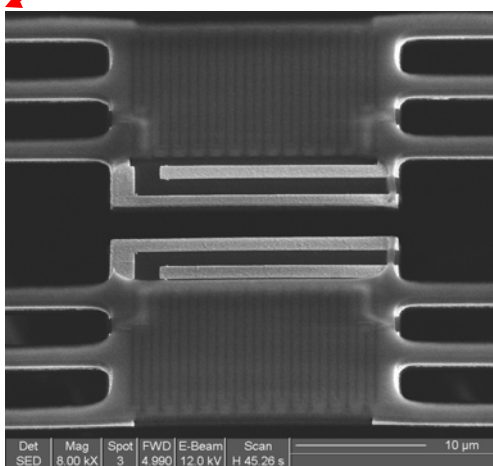
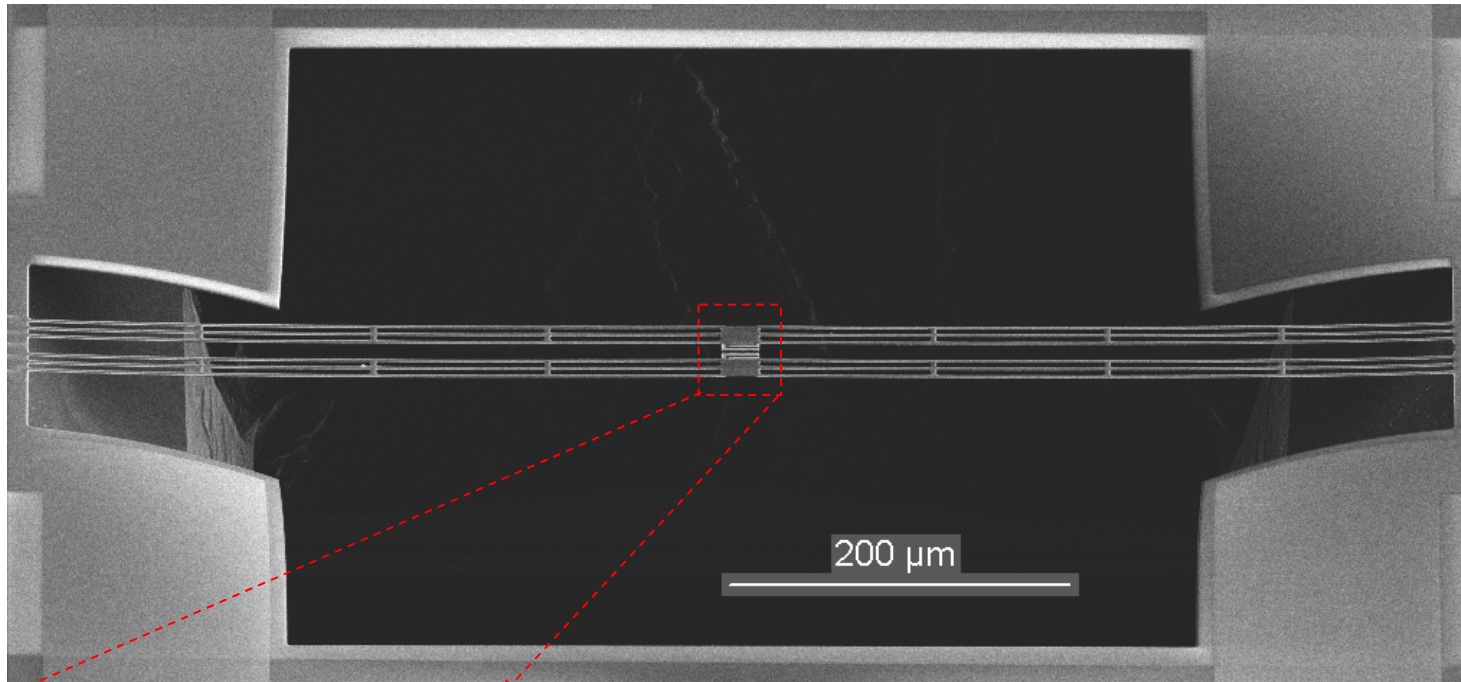


- $E_F$  lies in the 8<sup>th</sup> electron subband.
- Carrier concentration  $\gg$  intrinsic  $n \sim 2 \times 10^{16} \text{ cm}^{-3}$  at 300 K
- Highly degenerately doped, possibly with Te  $\rightarrow$  low power factor
- $E_F$  and  $S$  can be tuned by controlling the doping or using a gate voltage.



## Combined TE & TEM Characterizations of the Same Nanowire

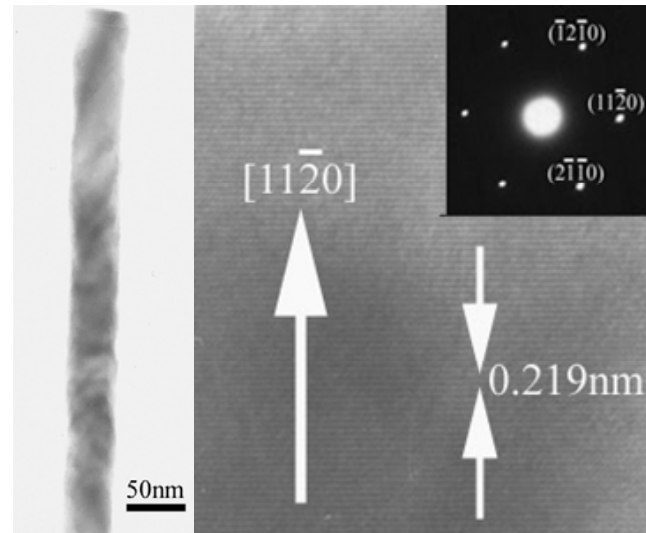
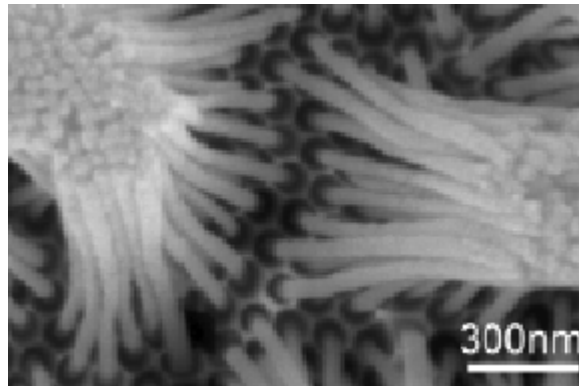
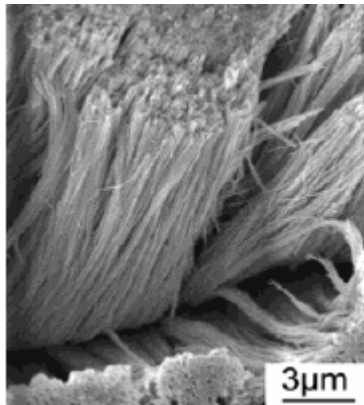
- Microfabricated devices for measuring TE properties ( $\sigma$ ,  $\kappa$ ,  $S$ ,  $ZT$ ), TEM, EDS of the same nanowire or suspended thin film



Electrical contact to the nanowire made possible by Electron Beam Induced Metal Deposition (EBIMD) or Ion Beam Induced Metal Deposition (IBIMD)

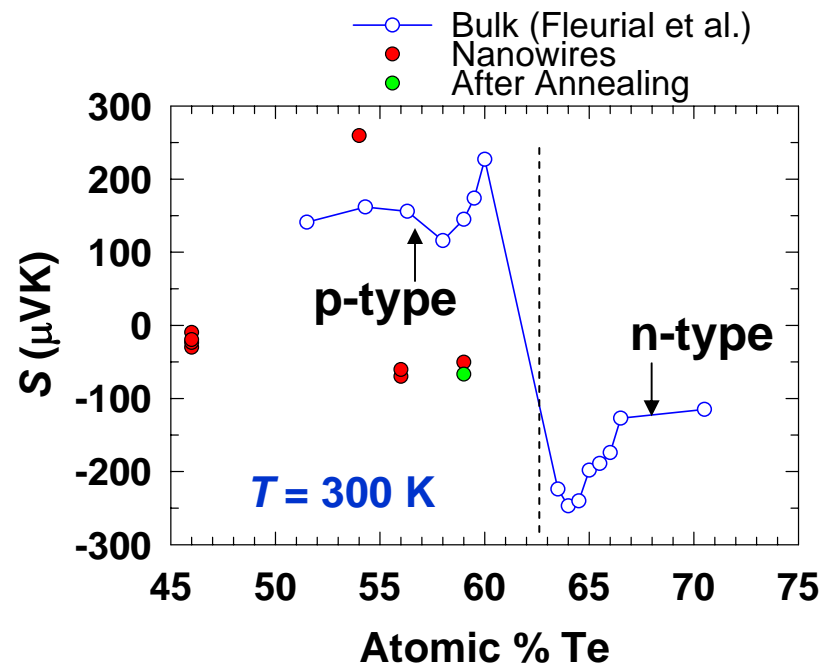
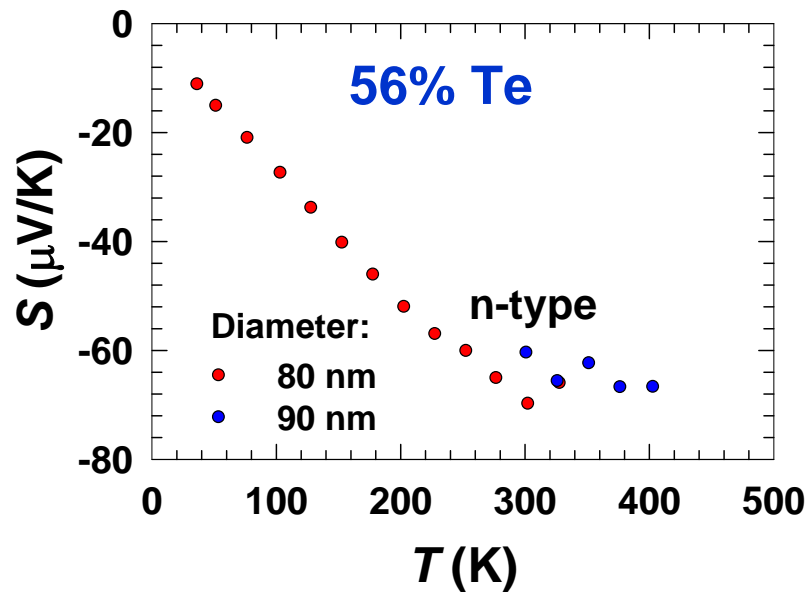
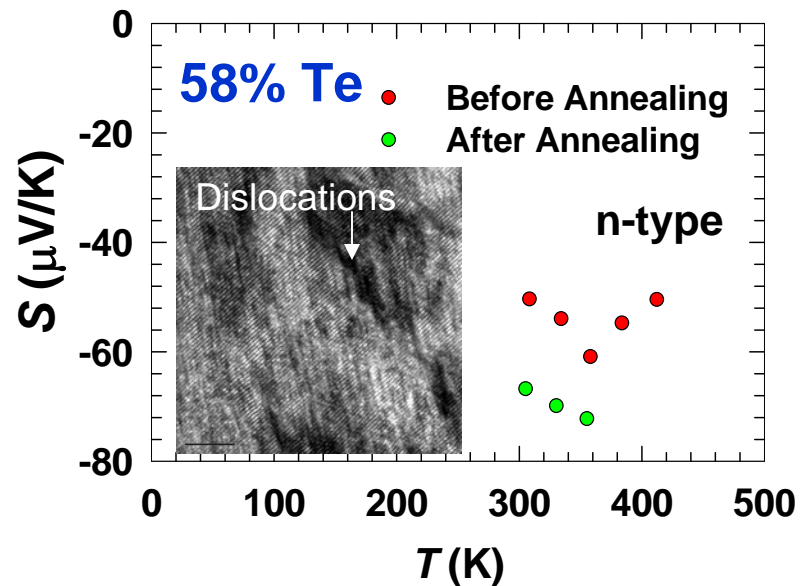
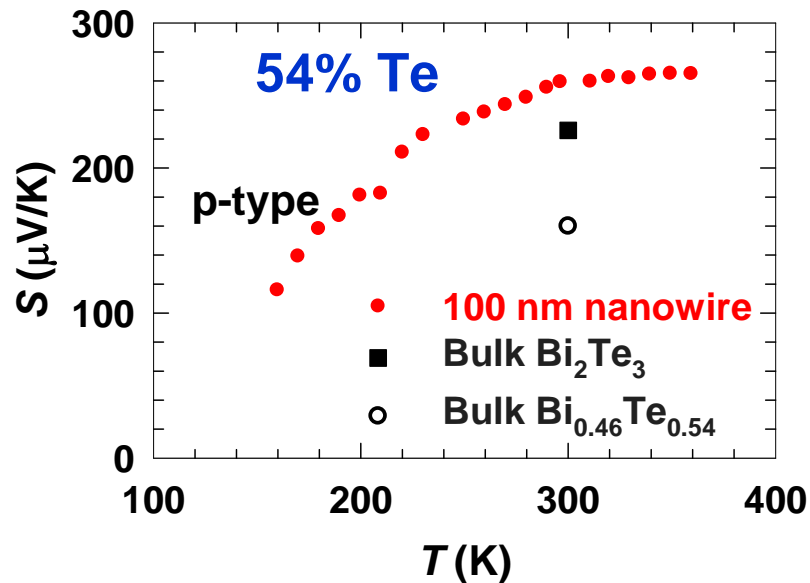
# Electrodeposited $\text{Bi}_{1-x}\text{Te}_x$ Nanowires

- $\text{Bi}_x\text{Te}_{1-x}$  nanowire arrays electrodeposited in nanopores of anodized alumina templates (AAMs) by W. Wang, Q. Jin, and Prof. X. G. Li at USTC
  - Crystalline structure
  - Growth direction  $[1\bar{1}20]$ , perpendicular to C axis
  - The atomic ratio (x) was varied by changing the ratio in the electrolytes

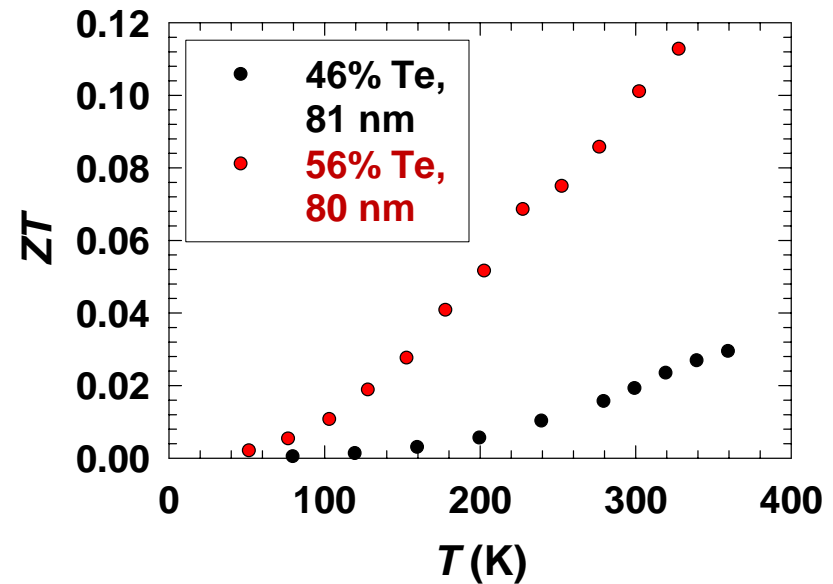
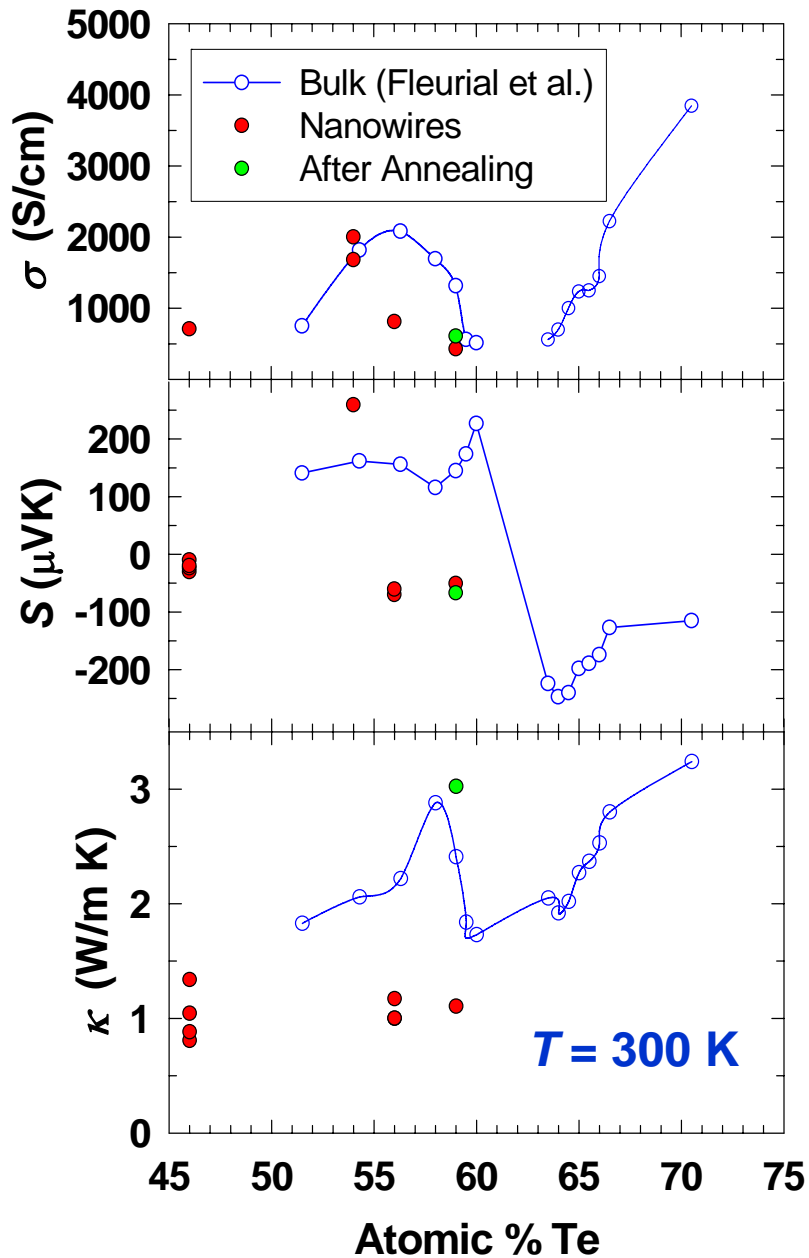


X. G. Li group, *J. Phys. Chem. B* **2004**, *108*, 1844

# Seebeck Coefficient of $\text{Bi}_{1-x}\text{Te}_x$ Nanowires



# $ZT \equiv S^2\sigma T/\kappa$ of $\text{Bi}_{1-x}\text{Te}_x$ Nanowires



- The  $\kappa$  and  $ZT$  of the 54% Te sample were not obtained.
- The 54% Te sample has higher  $\sigma$ , indicating fewer dislocations/defects, which could have resulted in the larger  $S$  and potentially lead to much higher  $ZT$  than the 46% Te and 56% Te samples.

# Lessons Learned

- Quantum confinement of electrons can lead to Seebeck improvement in quantum wells with sharp interfaces.
- The observed low to modest power factors of  $\text{Bi}_{1-x}\text{Te}_x$ , III-V, and silicide nanowires are attributed to dislocations/defects, impurities (e.g. oxygen), partially diffuse surface scattering, and surface states.

# Outline

- Thermoelectrics of bulk and nanostructured materials
- Probing local thermopower of quantum wells
- Thermoelectric characterizations of individual nanowires using nanofabricated devices
- Integration of metal oxide nanowires with microsystems for nerve agent detection

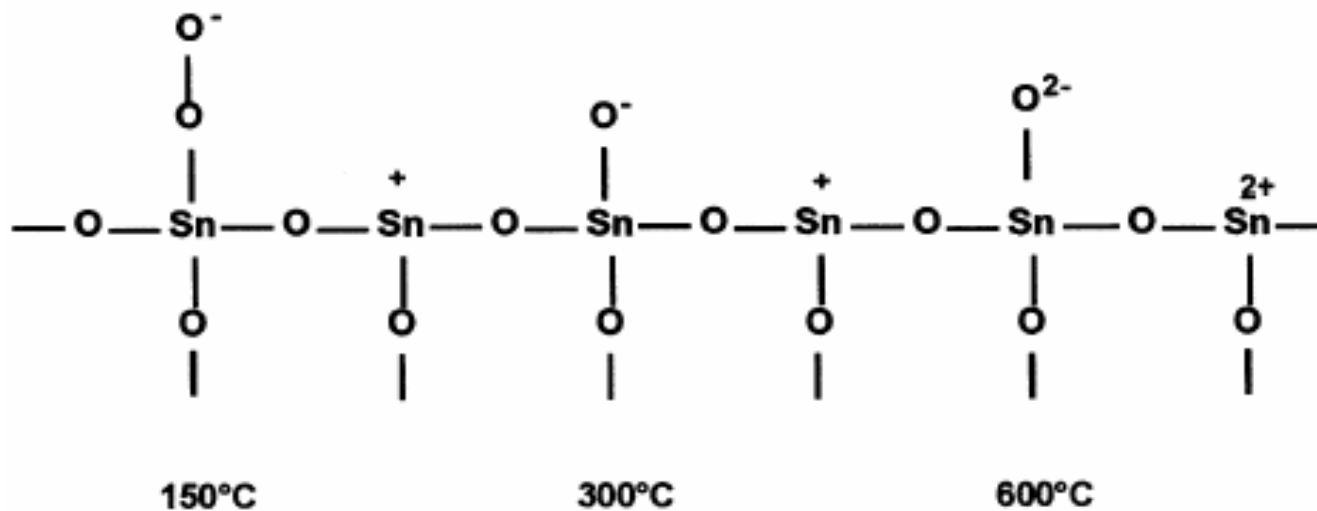
# Metal Oxide Sensors

- Commonly used for monitoring toxic and inflammable gases
- The sensing mechanism is based on surface reduction-oxidation (redox) processes  
 → Changing the concentration of oxygen vacancies and thus the electrical conductance

e.g.  $\text{NO}_2$  (gas) +  $e^- \rightarrow \text{NO}_2^-$  (surface) : Reduces conductivity

$\text{CO}$  (gas) +  $\text{O}^-$  (surface)  $\rightarrow \text{CO}_2$  (gas) +  $e^-$  : Increases conductivity

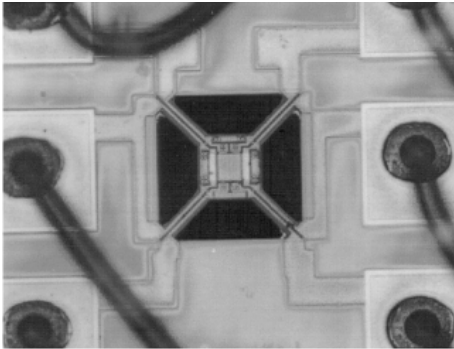
- Redox processes can be enhanced at high temperatures to increase the sensitivity



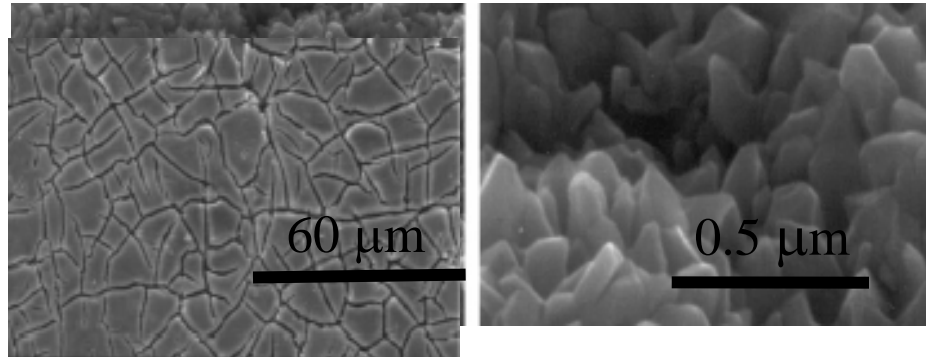
Ruhland *et al.* (1998)  
 Sens. Actuat. B  
 Vol.50, pp. 85~94

# Thin Film Metal Oxide MEMS Sensors

Chan et al., *Sens. Actuat. B* 46, 174 (1998)



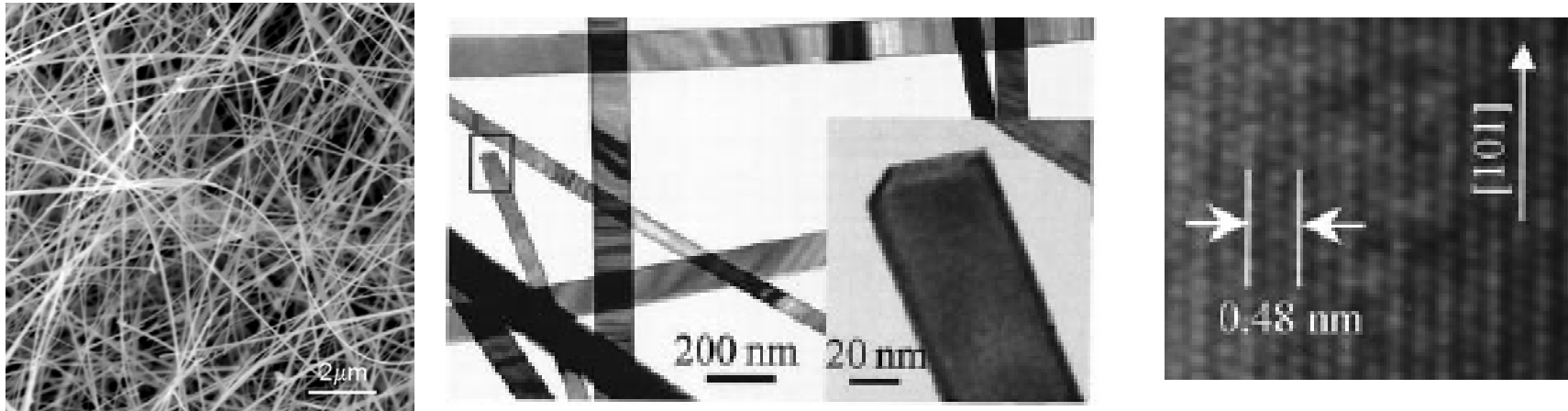
Lee et al., *Sens. Actuat. B*, 67, 122 (2000)



- Sensor temperature can be controlled by a low-power suspended MEMS (microelectromechanical systems) heater
- The surface redox processes modulate electron transport only in the surface depletion region (~ the Debye electrostatic screening length) → limited sensitivity
- Grain boundaries → sensor poisoning & lack of repeatability and stability
- High density of pinholes and defects → unstable electrical properties

# Benefits & Problems of Metal Oxide Nanowire Sensors

- Single-crystal SnO<sub>2</sub> nanobelts grown by the vapor-solid method (Z.L. Wang Group)



- Potential Benefits:

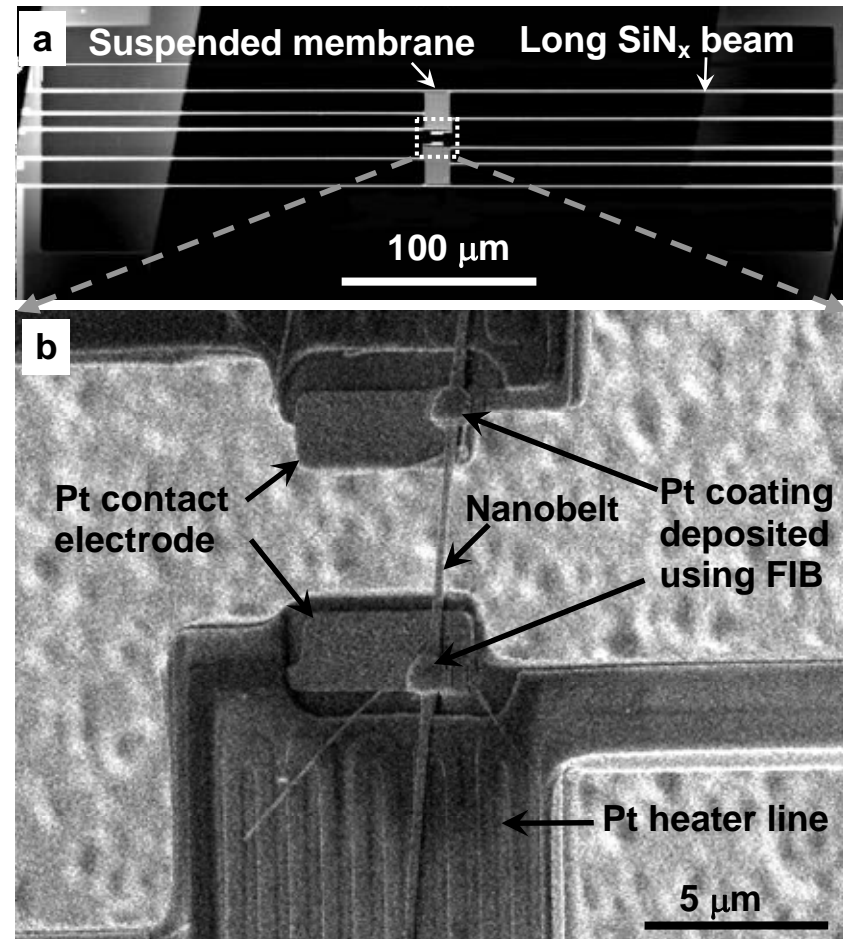
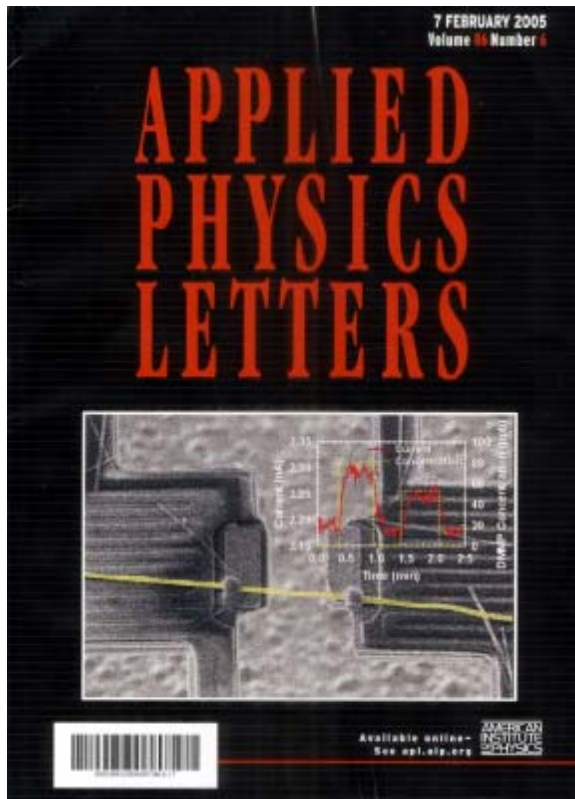
- Single-crystal structure → Eliminating grain boundary poisoning → Stable & reproducible?
- Thickness ~ 10-50 nm, approaching the Debye length → High sensitivity?

- Problems:

- Making Ohmic contacts to metal oxide nanowires
- Sensor response can saturate when the gas concentration is higher than a certain value → small dynamic range?
- Wafer-scale fabrication of sensor devices containing metal oxide nanowire sensing elements

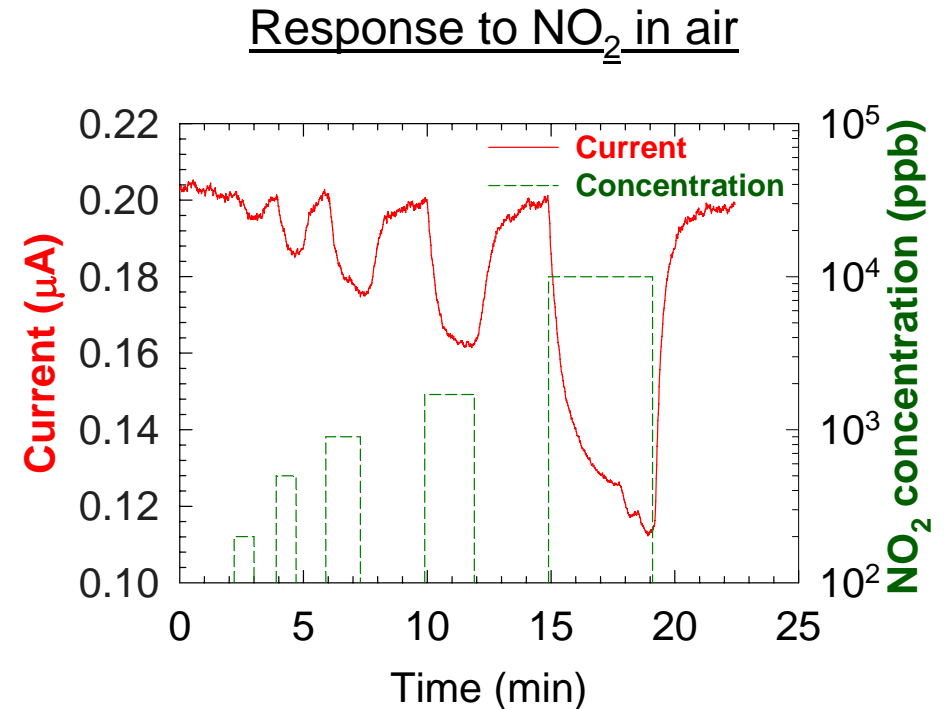
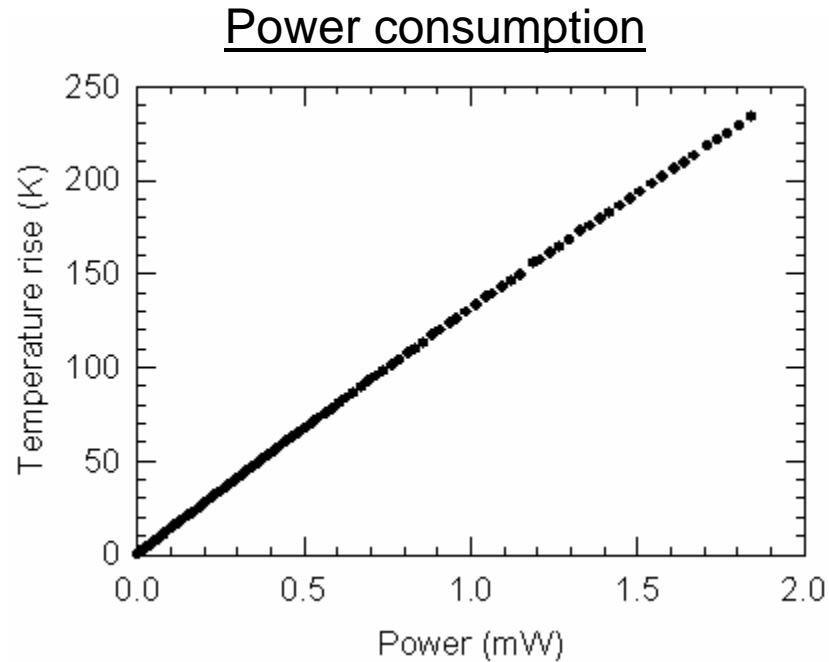
# Integration of Metal Oxide Nanowires with Microsystems

C. Yu, Q. Hao, L. Shi, X. Kong, Z. L. Wang,  
2005, Appl. Phys. Lett. 86, 063101+Cover



- Dielectrophoresis assembly of nanowires with microsystems

# Response of a SnO<sub>2</sub> Nanobelt-MEMS Sensor to NO<sub>2</sub>

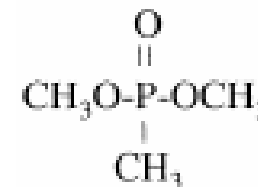


- The sensor was heated to 200°C using the low-power MEMS heater
- Full recovery upon purging the sensor with room air, no grain boundary poisoning
- Sensitivity ~ 100 ppb

## Interaction of Nerve Agents with Metal Oxides

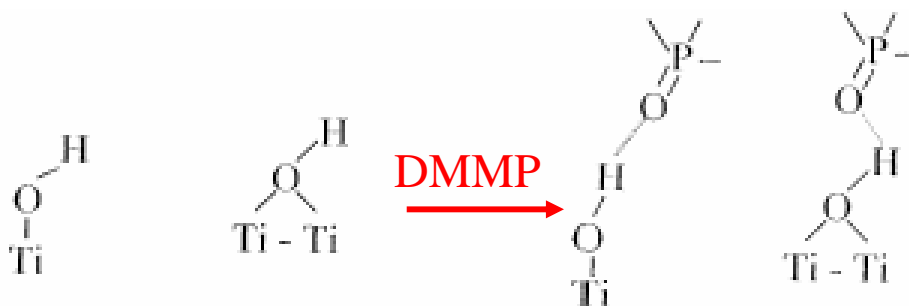
• Organophosphorous compounds are strong inhibitors for the enzymes for nerve functions and can be used as insecticides and nerve agents.

• Dimethyl methylphosphonate (DMMP) is a benign simulant to nerve agents



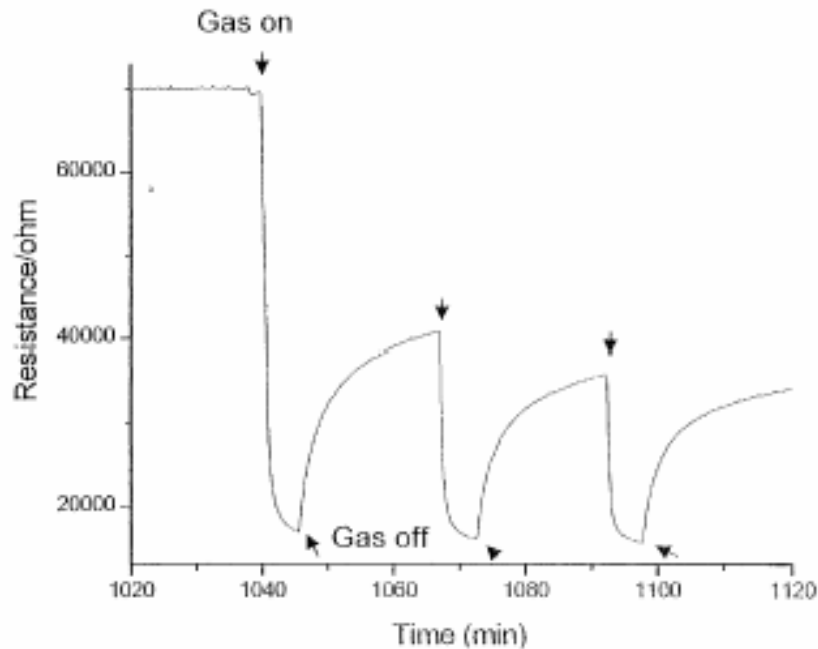
Kim et al., "Interaction of organophosphorous compounds with  $\text{TiO}_2$  and  $\text{WO}_3$  surfaces probed by vibrational spectroscopy," Sensors & Actuators B 76, 442 (2001):

• It was found that room temperature adsorption of DMMP was through H-bonding of the P=O group to the OH group on the metal oxide

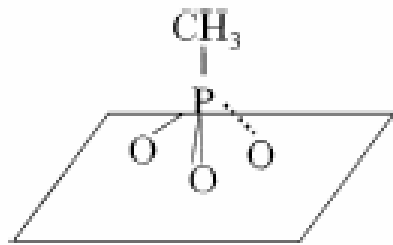


# Response of Metal Oxide Sensors to DMMP

• WO<sub>3</sub> powders by Kim et al., Sensors and Actuators B 76, 442 (2001)

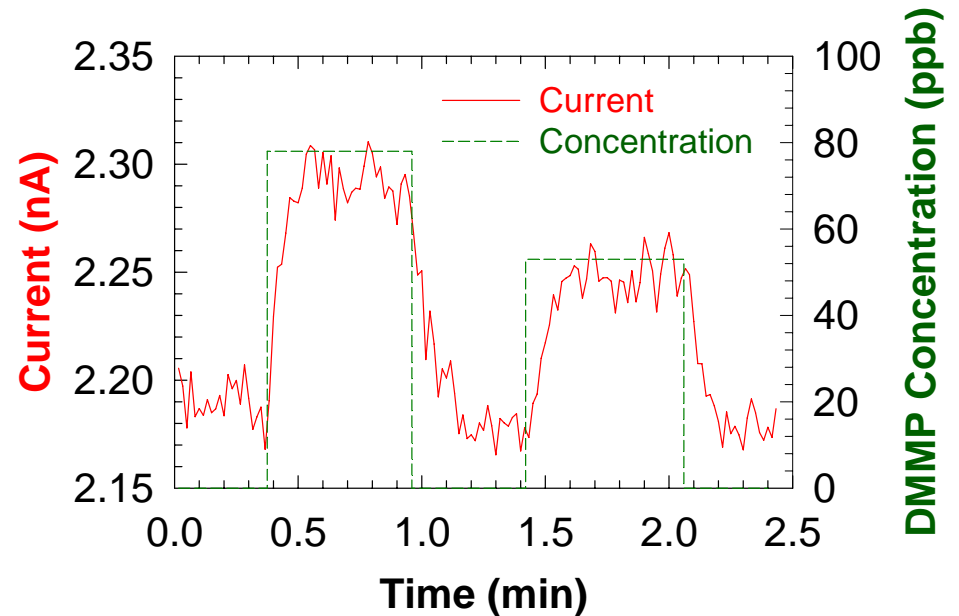


• At above 300°C, a covalently-bonded, stable phosphate surface complex adsorbed on TiO<sub>2</sub> and WO<sub>3</sub> surface was thought to cause the sensor poisoning



T = 300 - 400 °C

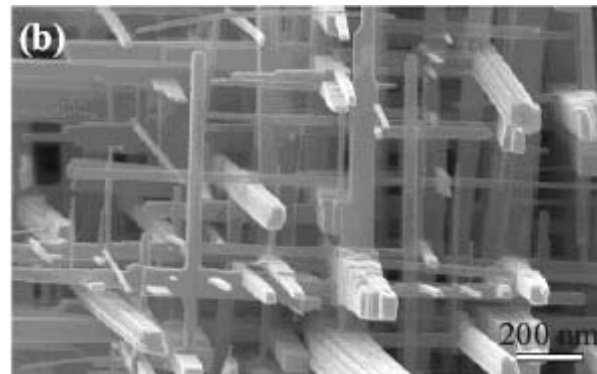
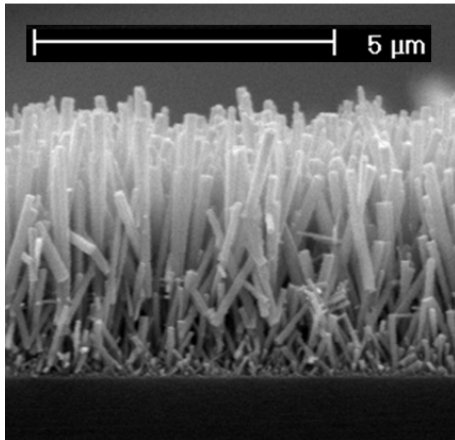
• SnO<sub>2</sub> nanobelt-MEMS sensor



- The sensor temperature was increased to 500°C by the MEMS heater
- High sensitivity: 10-100 ppb
- Full recovery upon purging the sensor with air, no sensor poisoning.
- Result of the single crystal structure or Pt catalytic sites from FIB Pt deposition?

## Future Work

- To better understand the effects of crystal structure, nanobelt thickness, catalytic additives, operating temperatures, and contact metals on sensor sensitivity, stability, response time, and dynamic range.
- To further develop methods for wafer-scale fabrication of selective microsensor arrays containing multiple layers of different metal oxide nanowire sensing elements doped with catalytic additives:
  - Langmuir-Blodgett assembly of aligned nanowire monolayers + photolithography & patterning
  - Microsensor arrays containing 3D interconnected nanowire networks
- 3D interconnected  $\text{WO}_3$  nanowire network (Z. L. Wang and co-workers)



# Acknowledgment

## Post-doc & Grad Students:



## Collaborations:

- A. Gossard Group
- S. Jin Group
- X. G. Li Group
- A. Majumdar Group
- N. Mingo & Q. Ye
- R. Ram Group
- A. Shakouri Group
- C. K. Shih Group
- Z. L. Wang Group
- Z. Yao Group

## Research Sponsors:

ONR (Program Manager: Dr. Mihal E. Gross)

NSF Thermal Systems Program

NASA / Eloret

DARAPA AP2C

THECB Advanced Research Program